

# Sim & McBurney

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239

## VIA COURIER

The Commissioner  
of Patents and Trademarks,  
BOX MISSING PARTS  
Washington, D.C. 20231,  
U.S.A.

Dear Sirs:


Re: U.S. Patent Application No. 09/055,744  
Charles D.Y. Sia et al.  
Filed April 7, 1998  
HIV-SPECIFIC CYTOTOXIC T-CELL RESPONSES

In response to the Notice to File Missing Parts dated April 17, 1998, submitted herewith are:

1. Copy of Specification with Declaration and Power of Attorney executed by inventor Klein attached thereto.
2. Copy of Specification with Declaration and Power of Attorney executed by inventors Sia and Chong attached thereto.
3. A cheque in the amount of the Surcharge Fee and extension fee.
4. Copy of the Notice.

Petition is hereby made under the provision of 37 CFR 1.136(b) for an extension of one month of the period for response to the Notice. The enclosed cheque includes the prescribed fee.

Yours very truly,  
**SIM & MCBURNEY**

  
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APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/055,744	04/07/98	SIA	1038-746-MIS

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CANADA

0292/0417

NOT ASSIGNED

1801

AIR MAIL DATE MAILED:

04/17/98

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.

- ☐ 1. The statutory basic filing fee is:
- ☐ missing.
  - ☐ insufficient.
- Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.
- \$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.
- 07/24/1998 STORNTD 00000048 09/055744 dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.  
Applicant must either ~~submit~~ pay the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:
- ☒ is missing or unexecuted.
  - ☐ does not cover the newly submitted items.
  - ☐ does not identify the application to which it applies.
  - ☐ does not include the city and state or foreign country of applicant's residence.
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☒ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application does not comply with the Sequence Rules.  
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."
- ☐ 9. OTHER: \_\_\_\_\_

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

Customer Service Center  
Initial Patent Examination Division (703) 308-1202